Exploiting New Properties of String Net Frequency for Efficient Computation

Peaker Guo, Patrick Eades, Anthony Wirth, and Justin Zobel The University of Melbourne CPM 2024, Fukuoka • Identification of significant strings in a text is a key task in many applications.

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 - "th" is the most frequent bigram in English
- Net frequency (NF) mitigates this limitation of frequency:
 - the NF of "th" is zero in "the theoretical theme".
- NF was originally introduced for Chinese NLP tasks [LY01].
- There is a lack of understanding of the properties of NF and the absence of efficient algorithms for computing NF.

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- Introduce and solve two problems: SINGLE-NF and ALL-NF.
- Prove combinatorial bounds related to ALL-NF.

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For example, consider S = st and T = #rstkstcastarstast.

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| i | f _i | F _i |
|---|----------------|----------------|
| 1 | 1 | Ъ |
| 2 | 1 | a |
| 3 | 2 | ab |
| 4 | 3 | aba |
| 5 | 5 | abaab |
| 6 | 8 | abaababa |

| i | f _i | F _i |
|---|----------------|----------------|
| 1 | 1 | Ъ |
| 2 | 1 | a |
| 3 | 2 | ab |
| 4 | 3 | aba |
| 5 | 5 | abaab |
| 6 | 8 | abaababa |

| i | f _i | F _i |
|---|----------------|-------------------|
| 1 | 1 | Ъ |
| 2 | 1 | a |
| 3 | 2 | ab |
| 4 | 3 | ab <mark>a</mark> |
| 5 | 5 | abaab |
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| i | f _i | F _i |
|---|----------------|---------------------|
| 1 | 1 | Ъ |
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| 3 | 2 | ab |
| 4 | 3 | aba |
| 5 | 5 | aba <mark>ab</mark> |
| 6 | 8 | abaababa |

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|---|----------------|------------------------|
| 1 | 1 | Ъ |
| 2 | 1 | a |
| 3 | 2 | ab |
| 4 | 3 | aba |
| 5 | 5 | abaab |
| 6 | 8 | abaab <mark>aba</mark> |

Empirically, only F_{i-2} and $F_{i-1}[1 \dots f_{i-1} - 2]$ have positive NF in F_i , for each $i \ge 7$ until a reasonably large *i*.

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| i | fi | F _i |
|---|----|-----------------------|
| 5 | 5 | abaab |
| 6 | 8 | abaababa |
| 7 | 13 | abaababa <u>abaab</u> |

Empirically, only F_{i-2} and $F_{i-1}[1 \dots f_{i-1} - 2]$ have positive NF in F_i , for each $i \ge 7$ until a reasonably large *i*.

| i | fi | F _i |
|---|----|-----------------------|
| 5 | 5 | abaab |
| 6 | 8 | abaababa |
| 7 | 13 | <u>abaaba</u> baabaab |

We factorize F_i in two different ways. Take F_8 as an example.



x = z: the first character of each F_i is always 'a' for $i \ge 2$.



 $w \neq y$: the last character of consecutive Fibonacci words alternates.

Lemma

Only the last occurrence of F_{i-2} is a net occurrence in F_i .

- *F_i* is defined as *F_{i-1} F_{i-2}*, but what if we reverse the order of the concatenation?
- F_{i-1} F_{i-2} and F_{i-2} F_{i-1} only differ in the last two characters:

 F_6 F_5 = abaababa|aba<u>ab</u> F_5 F_6 = abaab|abaaba<u>ba</u>

NF of $F_{i-1}[1 \dots f_{i-1} - 2] = F_{i-2}Q_i$ in F_i

$$\begin{array}{c|ccccc} F_{i-4} & F_{i-5} \\ F_{i-2} & Q_i & \Delta(1) & F_{i-2} \\ \texttt{#} a b a a b a b a & \texttt{a} b a & \texttt{a} b & \texttt{a} & \texttt{b} a & \texttt{b} & \texttt{a} & \texttt{b} & \texttt{b} & \texttt{a} & \texttt{b} & \texttt{$$

Lemma

$$F_{i-4} F_{i-5} = Q_i \Delta (1 - (i \mod 2))$$
 and

 $F_{i-5} F_{i-4} = Q_i \Delta(i \mod 2)$

 \ldots where $Q_i := F_{i-5} F_{i-6} \cdots F_3 F_2$, $\Delta(0) := ba$, and $\Delta(1) := ab$.

Theorem

For each
$$i \ge 7$$
, $\phi_{F_i}(F_{i-2}) = 1$ and $\phi_{F_i}(F_{i-2} Q_i) = 2$.

Conjecture

There are only three net occurrences in F_i .

Idea: a net occurrence may overlap with another net occurrence, but cannot contain it entirely.

We consider the following computational problems:

• SINGLE-NF: process an input text; report the NF of a query string in the input text.

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- SINGLE-NF: process an input text; report the NF of a query string in the input text.
- ALL-NF: report an occurrence and the NF of each string of positive NF in an input text. For example, the output of ALL-NF on <u>abaababaabaab</u> is: ((1,6),2), ((9,14),1).

Augment the suffix array (SA) with LCP array and LF mapping.

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Theorem

Let $\langle I, r \rangle$ be the SA interval of a string S. For each $i \in \langle I, r \rangle$, let $\ell(i) := \max(LCP[i], LCP[i+1])$, then, (SA[i], SA[i] + |S| - 1) is a net occurrence if $|S| = \ell(i) \ge \ell(LF[i])$.

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Proof idea: S is repeated if $|S| \le \ell(i)$ and is unique if $|S| > \ell(i)$.

SINGLE-NF Algorithm (Example)

| i | T[SA[i]n]T[1SA[i]-1] | LCP[i] | $\ell(i)$ | LF[i] |
|-------|-------------------------------------|--------|-----------|-------|
| • • • | | | | |
| 7 | kstcastarstast\$ <mark>#rst</mark> | 0 | 0 | 19 |
| 8 | rstast\$ # rstkstcasta | 0 | 3 | 3 |
| 9 | rstkstcastarstast\$# | 3 | 3 | 1 |
| 10 | st\$#rstkstcastarsta | 0 | 2 | 4 |
| 11 | starstast\$ <mark>#rstkstca</mark> | 2 | 3 | 5 |
| 12 | stast\$#rstkstcastar | 3 | 3 | 8 |
| 13 | stcastarstast\$ <mark>#rstk</mark> | 2 | 2 | 7 |
| 14 | stkstcastarstast\$ <mark>#</mark> r | 2 | 2 | 9 |
| | | | | |

Remainder: $\ell(i) := \max(LCP[i], LCP[i+1])$

The SA interval of "st"

. . .

| i | T[SA[i]n]T[1SA[i]-1] | LCP[i] | $\ell(i)$ | LF[i] |
|-------|-------------------------------------|--------|-----------|-------|
| • • • | | | | |
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| 13 | stcastarstast\$ <mark>#rst</mark> k | 2 | 2 | 7 |
| 14 | stkstcastarstast\$ <mark>#</mark> r | 2 | 2 | 9 |
| | | | | |

SINGLE-NF Algorithm (Example)

When i = 13, the right extension "stc" is unique:

| i | T[SA[i]n]T[1SA[i]-1] | LCP[i] | $\ell(i)$ | LF[i] |
|----|-------------------------------------|--------|-----------|-------|
| | | | | |
| 7 | kstcastarstast\$ <mark>#rst</mark> | 0 | 0 | 19 |
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| 14 | stkstcastarstast\$ <mark>#</mark> r | 2 | 2 | 9 |
| | | | | |

Remainder: check for $|S| + 1 > \ell(i)$ where $\ell(i) := \max(LCP[i], LCP[i+1])$

The left extension "kst" is located using LF:

| i | T[SA[i]n]T[1SA[i]-1] | LCP[i] | $\ell(i)$ | LF[i] |
|-------|------------------------------------|--------|-----------|-------|
| • • • | | | | |
| 7 | kstcastarstast\$#rst | 0 | 0 | 19 |
| 8 | rstast\$ # rstkstcasta | 0 | 3 | 3 |
| 9 | rstkstcastarstast\$# | 3 | 3 | 1 |
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| 11 | starstast\$ <mark>#rstkstca</mark> | 2 | 3 | 5 |
| 12 | stast\$#rstkstcastar | 3 | 3 | 8 |
| 13 | stcastarstast\$ <mark>#rstk</mark> | 2 | 2 | 7 |
| 14 | stkstcastarstast\$#r | 2 | 2 | 9 |
| | | | | |

The left extension "kst" is unique:

| i | T[SA[i]n]T[1SA[i]-1] | LCP[i] | $\ell(i)$ | LF[i] |
|-----|-------------------------------------|--------|-----------|-------|
| ••• | | | | |
| 7 | kstcastarstast\$#rst | 0 | 0 | 19 |
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| 14 | stkstcastarstast\$ <mark>#</mark> r | 2 | 2 | 9 |
| | | | | |

Remainder: check for $|S| + 1 > \ell(LF[i])$ where $\ell(i) := \max(LCP[i], LCP[i+1])$

Lemma (Coloured range listing (CRL) [Mut02])

After processing a text T of length n in O(n) time, given a range i, \ldots, j , the position of each distinct character ("colour") in $T[i \ldots j]$ can be listed in O(1) time.

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For example, when $T = b\underline{ana}na$, $CRL_T(2, 4) = \{2, 3\}$.

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For example, when $T = ba\underline{nana}$, $CRL_T(3, 6) = \{3, 4\}$.

Improved SINGLE-NF Algorithm

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After processing a text T of length n in O(n) time, given a range i, \ldots, j , the position of each distinct character ("colour") in $T[i \ldots j]$ can be listed in O(1) time.

| i | T[SA[i]n]T[1SA[i]-1] |
|-----|------------------------------------|
| ••• | |
| 10 | <pre>st\$#rstkstcastarsta</pre> |
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| 13 | stcastarstast\$ <mark>#rstk</mark> |
| 14 | stkstcastarstast\$#r |
| | |

 $CRL_{BWT}(10, 14) = \{10, 12, 13\}$

Theorem

After processing a text T of length n in O(n) time, the NF of a query string of length m can be computed in O(m + d) time where d is the number of distinct left extension characters of S.

Experimental Results

ASA (augmented SA) vs CRL (ASA & coloured range listing): O(m + occ) vs O(m + d)

where m, occ, and d are the length, the number of occurrences and the number of distinct left extension characters of the query string, respectively.



Lemma

 $\sum_{S \in \Lambda} \phi(S) \leq n \text{ and } |\Lambda| \leq n.$

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Let $M := \sum_{S \in \Lambda} |S|$ and $L := \sum_{S \in \Lambda} \phi(S) \cdot |S|$.

ALL-NF-Related Bounds

Let Λ be the set of strings with positive NF in a text T; n = |T|.

Lemma

 $\sum_{S \in \Lambda} \phi(S) \leq n \text{ and } |\Lambda| \leq n.$

Let
$$M := \sum_{S \in \Lambda} |S|$$
 and $L := \sum_{S \in \Lambda} \phi(S) \cdot |S|$.

When $T = \underline{abaaba}ba\underline{abaab}$,

 $\Lambda = \{abaaba, abaab\}, M = 6 + 5, and L = 6 \times 2 + 5 \times 1.$

Lemma $\sum_{S \in \Lambda} \phi(S) \leq n \text{ and } |\Lambda| \leq n.$

Let
$$M := \sum_{S \in \Lambda} |S|$$
 and $L := \sum_{S \in \Lambda} \phi(S) \cdot |S|$.

Theorem

 $M \in \Omega(n)$ and $L \in O(n \log \delta)$.

... where $\delta := \max \{ S(k)/k : k \in [n] \}$, S(k) is the # of distinct strings of length k.

Proof idea: sum of irreducible LCP values.

Conclusion and Future Work

Conclusion:

- Properties of NF:
 - redefinition, Fibonacci words, bounds (more in the paper)
- O(m + d)-time SINGLE-NF algorithm:
 - extensive experiments (more results in the paper)
- O(n)-time ALL-NF algorithm
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 - two variants of ALL-NF (more details in the paper)

Future work:

- Only F_{i-2} and $F_{i-2}Q_i$ have positive NF in F_i
- Closing the gap of $\Omega(n) \le M \le L \le O(n \log \delta)$
- $\bullet~$ A lower bound for ${\rm SINGLE-NF}$
- Online computation of NF

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Thank you for your time! Questions? Full paper including code:

